



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re Application of: Cechan (nmi) Tian et al.  
Serial No.: 10/695,771  
Filing Date: October 29, 2003  
Group Art No.: 2633  
Title: *Method and System for Increasing Network Capacity in an Optical Network*

Commissioner For Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

<p>Certificate of Mailing by Express Mail No. EV 324648985 US I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" under 37. C.F.R. 1.10 on the date shown below, and addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.</p> <p>Name: <u>Willie Jiles</u> Willie Jiles</p> <p>Date: March 16, 2004</p>
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**INFORMATION DISCLOSURE STATEMENT**

Under 37 C.F.R. §§ 1.56, .97, and .98, Applicants respectfully request that the references listed in the attached PTO-1449 form be considered and cited in the examination of the Application. The present Application was filed after June 30, 2003. Therefore, under the July 11, 2003, waiver of 37 C.F.R. § 1.98(a)(2)(i) by the U.S. Patent and Trademark Office, copies of U.S. Patents and U.S. Patent Application Publications listed in the attached PTO-1449 form are not enclosed. Copies of all other references are enclosed for the convenience of the Examiner.


<p>The PTO did not receive the following listed item(s) <u>all foreign patent</u> <u>documents listed in PTO-1449</u></p>
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**REMARKS**

Pursuant to 37 C.F.R. § 1.97(b)(3), Applicants believe this Information Disclosure Statement has been filed before the mailing date of the first Official Action. Therefore, Applicants believe no fee is due. However, if a fee is required, the Commissioner is hereby authorized to charge any fees or credit any overpayments to Deposit Account No. 02-0384 of Baker Botts L.L.P.

Respectfully submitted,

BAKER BOTTS L.L.P.  
Attorneys for Applicants



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Date: March 16, 2004

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**Customer Number                      05073**

PTO-1449	Application No. <b>10/695,711</b>	Applicant(s) <b>Cechan (nmi) Tian et al.</b>	
<b>Information Disclosure Citation In an Application</b>		Docket Number <b>064731.0378</b>	Group Art Unit <b>2633</b>
		Filing Date <b>October 29, 2003</b>	

MAR 16 2004

## U.S. PATENT DOCUMENTS

		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	A	5,000,531	03/19/1991	Burberry	350	96.16	05/22/1989
	B	5,751,454	05/12/1998	MacDonald et al.	359	119	10/10/1996
	C	6,035,080	03/07/2000	Henry et al.	385	24	06/20/1997
	D	6,084,694	07/04/2000	Milton et al.	359	124	08/12/1999
	E	6,160,648	12/12/2000	Öberg et al.	359	110	09/19/1997
	F	6,192,173	02/20/2001	Solheim et al.	385	24	06/02/1999
	G	6,288,811	09/11/2001	Jiang et al.	359	127	10/17/2000
	H	6,301,404	10/09/2001	Yoneyama	385	24	03/17/1999
	I	6,466,341	10/15/2002	Lumish et al.	359	127	08/03/1998
	J	2001/0007509	07/12/2001	Aso et al.	359	326	12/28/2000
	K	2001/0017958	08/30/2001	Solheim et al.	385	24	12/21/2000
	L	2001/0038472	11/08/2001	Lee et al.	359	110	01/24/2001
	M	2002/0150328	10/17/2002	Morgan et al.	385	24	04/12/2001
	N	2002/0145779	10/10/2002	Strasser et al.	359	124	03/15/2002

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	O	04319830	11/10/1992	JP	H04B	12/42	X	
	P	10135916	05/22/1998	JP	H04B	10/20	X	
	Q	1 063 803	12/27/2000	EP	H04J	14/02	X	

		<b>DOCUMENT (Including Author, Title, Source, and Pertinent Pages)</b>	<b>DATE</b>
EXAMINER		DATE CONSIDERED	

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

U.S. PATENT AND TRADEMARK OFFICE

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**Information Disclosure Citation  
In an Application**

**U.S. PATENT DOCUMENTS**

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**FOREIGN PATENT DOCUMENTS**

	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

	DOCUMENT (Including Author, Title, Source, and Pertinent Pages)	DATE
A	Bacque, B. et al., "R-OADM Architecture - Now you can Control the Light," Tropic Networks, pp. 1-11	2003
B	U.S. Patent Application Serial No. 10/108,734, entitled "Method and System for Control Signaling in an Open Ring Optical Network," filed March 27, 2002	03/27/2002
C	U.S. Patent Application Serial No. 10/108,741, entitled "Method and System for Testing During Operation of an Open Ring Optical Network," filed March 27, 2002	03/27/2002
D	U.S. Patent Application Serial No. 10/112,022, entitled "Flexible Open Ring Optical Network and Method," filed March 28, 2002	03/28/2002
E	U.S. Patent Application Serial No. 10/158,523, entitled "Optical Ring Network with Optical Subnets and Method," filed May 29, 2002	05/29/2002
F	U.S. Patent Application Serial No. 10/158,348, entitled "Multiple Subnets in an Optical Ring Network and Method," filed May 29, 2002	05/29/2002
G	U.S. Patent Application Serial No. 10/159,499, entitled "Combining and Distributing Amplifiers for Optical Network and Method," filed May 30, 2002	05/30/2002
H	U.S. Patent Application Serial No. 10/159,307, entitled "Optical Add/Drop Node and Method," filed May 30, 2002	05/30/2002
I	U.S. Patent Application Serial No. 10/159,464, entitled "Passive Add/Drop Amplifier for Optical Network and Method," filed May 30, 2002	05/30/2002
J	U.S. Patent Application Serial No. 10/246,053, entitled "Optical Network and Distributed Sub-Band Rejections," filed September 17, 2002	09/17/2002
K	U.S. Patent Application Serial No. 10/262,818, entitled "Optical Ring Network with Hub Node and Method," filed October 1, 2002	10/01/2002
L	U.S. Patent Application Serial No. 10/448,169, entitled "Optical ring Network with Selective Signal Regeneration and Wavelength Conversion," filed May 29, 2003	05/29/2003
M	U.S. Patent Application Serial No. 10/627,548, entitled "System and Method for Communicating Optical Traffic Between Ring Networks," filed July 25, 2003	07/25/2003
N	U.S. Patent Application Serial No. 10/629,021, entitled "Optical Network with Sub-Band Rejection and Bypass," filed July 28, 2003	07/28/2003

EXAMINER

DATE CONSIDERED

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